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2644

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Aubauer, R., et al.  
Appl. No.: 09/937,022  
Conf. No.: 3055  
Filed: December 3, 2001  
Title: Method And Device For Receiving And Treating Audiosignals In Surroundings  
Affected By Noise  
Art Unit: 2644  
Examiner: Unknown  
Docket No.: 112740-327

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Alexandria, VA 22313-1450

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Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal of Information Disclosure Statement (duplicate);
2. Information Disclosure Statement (2 pgs.);
3. PTO Form 1449 (1 pg.);
4. Five References; and
5. Copy of International Search Report.

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on May 21, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert J. Buccieri

Name of Person Mailing Correspondence

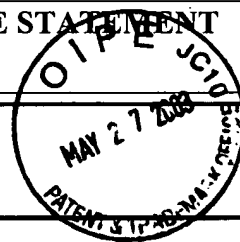
Signature

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> <b>(Under 37 CFR 1.97(b) or 1.97(c))</b>			Docket No. 112740-327
In Re Application Of: <b>Aubauer, R., et al.</b>			
Serial No. 09/937,022	Filing Date December 3, 2001	Examiner Unknown	Group Art Unit 2644
Title: <b>METHOD AND DEVICE FOR RECEIVING AND TREATING AUDIOSIGNALS IN SURROUNDINGS AFFECTED BY NOISE</b>			
Address to: <b>Assistant Commissioner for Patents</b> <b>Washington, D.C. 20231</b>			<div style="font-size: 1.5em; font-weight: bold;">RECEIVED</div> <div style="margin-top: 10px;"> MAY 28 2003  Technology Center 2600 </div>
<div style="text-align: center; margin-bottom: 10px;"> <b>37 CFR 1.97(b)</b> </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-bottom: 10px;"> <b>37 CFR 1.97(c)</b> </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-bottom: 10px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div> <div style="text-align: center; margin-bottom: 10px;"> <b>OR</b> </div> <div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-327

In Re Application: Aubauer, R., et al.



Serial No.  
09/937,022

Filing Date  
December 3, 2001

Examiner  
Unknown

Group Art Unit  
2644

**METHOD AND DEVICE FOR RECEIVING AND TREATING AUDIOSIGNALS IN  
SURROUNDINGS AFFECTED BY NOISE**

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
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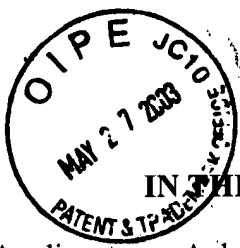
**\*This certificate may only be used if paying by deposit account.**

Signature

Dated: May 21, 2003

Michael S. Leonard  
Reg. No. 37,557  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Tel: 312/807-4270  
Fax: 312/827-1271

CC:



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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,752,961	June 21, 1988	Kahn
5,243,657	September 7, 1993	Cotton

**OTHER DOCUMENTS**

"Patent Abstracts of Japan", Vol. 011, No. 023, January 22, 1987 (JP 61-192198, August 26, 1986)

"Patent Abstracts of Japan", Vol. 1995, No. 08, September 29, 1995 (JP 07-131886, May 19, 1995)

"Patent Abstracts of Japan", Vol. 1996, No. 07, July 31, 1996 (JP 08-084392, March 26, 1996)

All of these references were cited in a search report for a corresponding foreign application. A copy of the Search Report is enclosed.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

A handwritten signature in black ink, appearing to read "Michael S. Leonard", is written over a horizontal line.

Michael S. Leonard

Reg. No.37,557

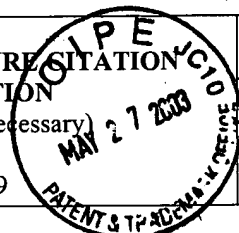
P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4270

Dated: May 21, 2003

<b>INFORMATION DISCLOSURE IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112740-327	Application No. 09/937,022
	Applicant Aubauer, R., et al.	
	Filing Date December 3, 2001	Group 2644



U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,752,961	June 21, 1988	Kahn			
	5,243,657	September 7, 1993	Cotton			

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FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
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Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	"Patent Abstracts of Japan", Vol. 011, No. 023, January 22, 1987 (JP 61-192198, August 26, 1986)
	"Patent Abstracts of Japan", Vol. 1995, No. 08, September 29, 1995 (JP 07-131886, May 19, 1995)
	"Patent Abstracts of Japan", Vol. 1996, No. 07, July 31, 1996 (JP 08-084392, March 26, 1996)

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	